

GAI-001US

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C.371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION  
**PCT/RU00/00088**INTERNATIONAL FILING DATE  
**17 March 2000 (17.03.00)**PRIORITY DATE CLAIMED  
**18 March 1999 (18.03.99)**

## TITLE OF INVENTION

**DEVICE FOR THE THERAPEUTIC AND COSMETIC PHOTO-PROCESSING OF BIOLOGICAL  
TISSUE AND METHOD FOR USING THE SAME**

## APPLICANT(S) FOR DO/EO/US

**Leonid Ivanovich AKOPOV; Andrei Vyacheslavovich BELIKOV; Sergei Borisovich  
BIRJUCHINSKY; and Mikhail Vladimirovich INOCHKIN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C.371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **Transmittal Letter (2 sheets in duplicate); Petition to Revive an Abandoned Application Pursuant to 37 CFR § 1.137(b) (2 sheets) along with a check in the amount of \$620.00; PCT International Published Application in Russian(WO 00/54649) (without International Search Report) (33 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard.**

09/719497

PCT/RU00/00088

GAI-001US

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) .(a/o November 1, 2000):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1000

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO .....\$860

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.455(a)(2)) paid to USPTO .....\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	-20 =		X \$18.00
Independent claims	-3 =		X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00

TOTAL OF ABOVE CALCULATIONS =

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

Amount to be:  
refunded \$  
charged \$

- a. ☒ A check in the amount of \$620.00 to cover the Petition to Revive and Abandoned Application fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit  
any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Date: 13 December 2000

SIGNATURE

Giulio A. DeConti, Jr.

NAME

31,503

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the  
application of: **Ivanovich Leonid Akopov, et al.**

International Application No.: **PCT/RU00/00088**

International Filing Date: **17 March 2000**

U.S. Serial No.: **09/719,497**

Filed: **December 13, 2000**

For: **DEVICE FOR THE THERAPEUTIC AND COSMETIC  
PHOTO-PROCESSING OF BIOLOGICAL TISSUE AND  
METHOD FOR USING THE SAME**

Attorney Docket No.: **GAI-001US**

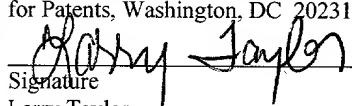
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Signature

Larry Taylor

Please Print Name of Person Signing

**PRELIMINARY AMENDMENT**

Dear Sir:

Prior to examination of the above-identified application, please amend the application as requested below. The present Preliminary Amendment and accompanying remarks are

responsive to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (Form PCT/DO/EO/905) mailed on June 19, 2001.

Please amend the above-identified application as follows:

In the specification:

On page 3, line 3, please insert the following paragraph with heading.

**RELATED APPLICATION**

The current application claims priority from the following International Patent Application filed pursuant to Patent Cooperation Treaty (PCT) on March 17, 2000, designating the United States. The International Patent Application is assigned International Application Number, PCT/RU00/00088 and names all the same inventors as this application: 09/719,497  
DEVICE FOR THE THERAPEUTIC AND COSMETIC PHOTO-PROCESSING OF BIOLOGICAL TISSUE AND METHOD FOR USING THE SAME. The International Patent Application was published in Russian on September 21, 2000, and assigned International Publication Number: WO 00/54649.

**Remarks**

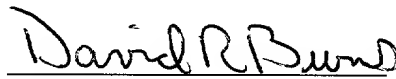
Preliminary to examination of this application, please amend the specification as identified above to claim benefit of the earlier filing date and cross-reference to other applications. No new matter has been added. Based on the International filing date of March 17, 2000, the above referenced International Application Number, PCT/RU00/00088 precedes the effectivity date of November 29, 2000 of 35 U.S.C. §§ 119(e)(1), 120 and 37 C.F.R.

1.78(a)(3) that require payment of a surcharge and a petition to accept an unintentionally delayed claim under 35 U.S.C. §§ 120, 121 or 365(c) for the benefit of a prior filed application.

Accordingly, Applicants' respectfully request entry of the foregoing Preliminary Amendment to claim benefit of the earlier filing date of the above-identified International Application.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



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**Dated:** August 9, 2001

**Device for the Therapeutic and Cosmetic Photoprocessing  
of Biological Tissues and Method of Using Same**

*Technical Field*

- 5 The invention is classified with medical equipment and may be employed in dermatology for permanent or temporary removal of human hair, miniaturization of same, or alteration of color, and also for coagulation of blood vessels and selective destruction of collagen and subcutaneous fat.

*Prior Art*

- 10 The prior art knows devices for photoprocessing of biological tissues, whose operation is based on the selective heating of the desired area of biological tissue (skin, blood vessel, or hair follicle). These devices employ lasers or arc lamps as radiation sources.

- 15 For selective heating, lasers are the principal light sources. This is because a laser provides the best spectral selectivity. In addition, a laser enables production of any pulse duration, right down to several femtoseconds, and thereby affords selective heating of biological structures of all sizes. Laser radiation is easily focused in a small space. This enables achievement of the desired energy density level, and also affords high efficiency transmission via optical fiber to the operational field. At the same time, a laser is the most expensive light source, and is highly hazardous primarily to the user's eyesight.

- 25 For several applications, the capabilities of selective laser heating are unnecessary and may be effected by noncoherent sources such as lamps. In such cases, spectral selection is accomplished using an absorbent or fluorescent filter. US Patent 3,327,712 claims the use of an arc lamp with a filter in the 300-600 nm range and delivery of light via a fiber bundle for tissue coagulation, while US Patent 4,298,005 claims a device containing an arc lamp, a reflector, and a spectral filter in the 320-450 nm range for cosmetological applications; this device requires no fiber delivery of the radiation.

- 35 US Patent 5,320,618 describes a device which employs a fluorescent filter for selection of radiation from an arc lamp. The fluorescent filter absorbs the energy of the short-wave part of the lamp's spectrum, which does not affect the target and re-emits it in the long-wave region, where the target is highly absorptive. US Patent 3,693,623 claims the first use of an arc lamp with a green filter and delivery of the radiation by optical fiber to remove a single hair by coagulating blood vessels in the papilla.

40 The common deficiency of the aforementioned patents lies in the fact that the devices specified therein contain arc lamps,

which are generally less expensive and simpler than lasers, but require high-voltage heavy-current power supplies and cannot be used under home conditions or in cosmetology salons. In addition, devices based on arc lamps have very low efficiency.

5 This is because their electricity to light conversion efficiency does not exceed 60%, while the power density on the outer surface of the plasma column is small due to the low "blackness" coefficient, limiting the maximum flux incident on the skin surface.

10 To create the least expensive photoepilators and photocosmetic devices, the most suitable light source at present is an incandescent lamp. An incandescent lamp can be powered by low-voltage sources safe for humans, and an incandescent lamp's electricity to light conversion efficiency is higher than that  
15 of an arc lamp (85% vs. 60%). An incandescent lamp's spectral efficiency is lower for the blue and green bands than that of an arc lamp due to limitations on filament temperature ( $< 3800$  K), but in the range of wavelengths in which hair is destroyed most efficiently (above 600 nm), its spectral efficiency is as good as  
20 that of an arc lamp with the same color temperature. The energy density on the surface of an incandescent filament is higher than that on the surface of a plasma column, which enables higher energy density to be achieved on the skin surface. Unlike an arc lamp, an incandescent lamp cannot efficiently emit pulses  
25 shorter than 50 ms, which is usually required for selective heating of the hair shaft or papilla, especially for fine hairs or the fine layer of dermis, subcutaneous fat, or blood vessels. For this reason, the development of an inexpensive and safe device for removing hair based on an incandescent lamp requires additional  
30 techniques for increasing the efficiency of action, which is the subject of this invention.

The device most similar to the claimed device, and the one selected as a prototype, is a device for coagulating blood vessels (US Patent 4,539,987, published 9/10/85).

35 Said device contains a source of electromagnetic radiation in the form of an incandescent lamp, a reflector to concentrate the radiation onto the area of biological tissue to be processed, and a transparent crystalline dielectric is placed between the incandescent lamp and the area of biological tissue to be processed  
40 and in contact with the area of biological tissue to be processed. This crystalline element, connected to a cooling system or heat sink, is designed to remove heat from the surface layer of the skin. An absorbent filter that passes radiation in the 600-1400 nm range may be placed between the lamp and the transparent  
45 dielectric. The device employs an incandescent lamp with an

electric power of over 15 W, and develops a power density on the surface of the biological tissue to be processed exceeding  $10 \text{ W/cm}^2$ . The power density recommended in said patent is  $150 \text{ W/cm}^2$ , for which said device employs an incandescent lamp with a maximum electric power on the order of 400 W, operating in normal continuous mode with an exposure time of about two seconds. Coagulation of blood vessels is accomplished mainly through absorption of the lamp's radiation by water contained in the skin.

10 The deficiency of said device is its unsuitability for effective local heating of hair or fine blood vessels, fine layer of dermis, or subcutaneous fat. In fact, as shown by US Patent 5,735,844, published 4/7/98, destroying hair requires radiation with a wavelength of 600-1100 nm, an energy density no less than  $10 \text{ J/cm}^2$ , and a pulse duration of 1-20 ms. Thus, the power density in this band must be at least  $500 \text{ W/cm}^2$ , which is considerably more than can be achieved using an incandescent lamp with a maximum electric power of 400 W in continuous operation, with an illuminated area of 25 mm in diameter on the surface of the biological tissue. We note that the nominal power of 400 W is the practical limit for miniature halogen lamps whose emissions can be concentrated in a small area (diameter 10-25 mm). Under these conditions, the light power in the 600-1400 nm spectral region on the surface of the biological tissue will not exceed 150 W (total light efficiency 80%, illuminator efficiency 80%, proportion of light in the 600-1400 nm band 60%;  $0.8 \times 0.8 \times 0.6 = 0.4$ ), and the power density will not exceed  $40 \text{ W/cm}^2$ , which again is considerably less than the required  $500 \text{ W/cm}^2$ . By reversing the calculation, we can easily ascertain that the required lamp power must be over 6 kW.

The most similar method to the claimed method of using the claimed device, and the one adopted as a prototype, is the method of removing hair specified in the aforementioned US Patent 5,735,844, published 4/7/98. This method employs short light pulses from 2 to 100 ms in length at a rate of 1 Hz and a wavelength in the 680-1200 nm band in combination with cooling of the epidermis. The essence of the prototype lies in the fact that melanin, which is contained primarily in the cell matrix of the hair follicle and in the hair shaft, is more absorptive in the specified frequency band than all other components of the skin. This enables selective heating of the hair follicle and destruction of its organs responsible for hair growth—the cell matrix in the papillary region and stem cells in the hair shaft region. Since melanin also occurs at the boundary between the dermis and epidermis, destroying the follicle can simultaneously damage the



epidermis, for example producing exfoliation. To prevent damage to the epidermis, said method employs cooling of the epidermis before and during light exposure. The hair removal method described in said patent is designed to process several hair follicles simultaneously with a single light pulse. The energy density of the optical pulses lies between 10 and 300 J/cm<sup>2</sup>, and the method envisages the use of any pulsed sources of electromagnetic radiation, including lasers and noncoherent sources, with the aforementioned parameters.

The deficiency of the prototype method is the insufficient efficiency of its use of electromagnetic energy during processing due to suboptimal action of optical pulses with high energy density on biological tissue.

#### *Disclosure*

The objective that the claimed invention aims to achieve is to reduce the device's cost while simultaneously increasing the efficiency and safety of hair follicle damage for permanent destruction or growth retardation, miniaturization or bleaching of hair, and coagulation of blood vessels and selective destruction of collagen in the skin and subcutaneous fat.

The stated objective is accomplished by achieving a technical result consisting in the optimal use of the properties of the biological tissue to be processed, consisting in the alteration of its state depending on the time, energy, and spectrum of incident radiation.

The aforementioned technical result is achieved in the claimed device by connecting an incandescent lamp, which is a source of electromagnetic radiation, to a power supply via a modulator. Said modulator contains a device for measuring the resistance of the lamp's incandescent filament and a power regulator, which ensure optimal biological tissue processing conditions. The inner surface of the reflector designed to concentrate the lamp's radiation onto the biological tissue is made reflective with the function of returning light reflected from the biological tissue being processed back to the biological tissue. This considerably increases the device's operational efficiency.

When the device is operating, a cooled dielectric prism consisting of a waveguide is in contact with the biological tissue being processed. In order to provide additional safety in processing, a metal plate is firmly joined to said dielectric prism, and said plate is also in contact with the biological tissue being processed and connected to a cooling system. During tissue processing, the device is moved so that the unexposed area of skin first contacts the metal plate, and then the dielectric.

The device also incorporates a spectral filter that absorbs ra-

5 diation harmful to biological tissue, which together with the dielectric element forms an optical waveguide. This, in combination with the reflector's spherical inner surface and conical side surface, returns radiation reflected from the biological tissue back to the biological tissue.

Additionally, the reflector's interior may be equipped with an air cooling system.

10 In addition to the separate spectral filter, the bulb of the incandescent lamp and the reflective coating of the reflector's inner surface may be made to function as a fluorescent spectral converter.

15 The home version of the claimed device intended, for example, for removing hair under home conditions, may be made as "nippers" that grasp a fragment of skin containing a hair follicle in order to concentrate the radiation on them [sic] when the nippers are closed. The professional version of the claimed device may be made using several miniature lamps with power supply voltage below 40 W. The home version of the claimed device may contain one miniature lamp with a power supply voltage of up to 40 W.

20 Methods of using the claimed device to process various biological tissues differ in the action time, spectral band, and exposure time. The surface to be processed is first cooled, and then exposed in two phases. The exceptions are the destruction of dermal collagen aimed at stimulating regeneration and the destruction of subcutaneous fat.

25 The claimed device employs incandescent lamps and a current or voltage modulator that alters the lamp's emission spectrum over time so that at the beginning of light's action on the skin (phase one, preheating), the radiation peak is concentrated in the near-IR band, while at the end of action, it shifts to the red region (phase two, destruction). In phase one, the dermis is heated by absorption of radiation by water contained in the dermis to a temperature of 45-55°C, below its denaturing point. In phase two, when the peak of the emission spectrum shifts into the red part of the spectrum, the hair components containing melanin—the cell matrix and hair shaft and the adjacent papilla and stem cells—are selectively heated. Since phase 1 raises their initial temperature 9-15°C above normal for the skin, the selective heating and destruction in phase 2 requires 30-40% less energy than heating without phase 1. To protect the epidermis from damage, contact cooling is used: the temperature of the epidermis can be measured, and when the skin reaches the specified temperature level in phase 1, heating can be terminated, and the energy of radiation in phase two is set at a safe

level.

The distinguishing feature of phase two lies in the fact that the lamp power in this phase considerably exceeds the nominal value, but due to lamp preheating in phase one and the brevity of phase two, this does not destroy the lamp's incandescent filament.

The emission of one or more incandescent lamps, as in the prototype, is directed using a reflector to the area of skin being processed. Unlike in the prototype, this reflector, in combination with a waveguide, is built so that it returns radiation reflected from biological tissue back to the biological tissue, thereby increasing the efficiency of use of the lamp's power. The device's efficiency is further increased by the use of a fluorescent converter of ultraviolet, blue, and green radiant energy to the yellow-red part of the spectrum.

#### *Brief Description of Figures and Drawings*

The essence of the invention is clarified by figures, where Figure 1 shows a block diagram of the device and a cross section of its tip, and Figure 2 shows the time variation of the lamp's power, peak radiation wavelength, proportion of the lamp's emissions in the infrared and red parts of the spectrum, and the lamp's resistance.

Figure 3 shows the distribution of temperature within the skin at the completion of phase one, preheating, and Figure 4 illustrates the time relation of the temperature of the base layer, stem cells, and cell matrix of the hair follicle in phase two.

Figure 5 shows the cross section of the tips of the simplified version of the device with low average power and made in the form of "nippers." Figure 6 shows the cross section of the device's lamp containing several flat coils. Figure 7 shows the cross section of a tip containing four lamps.

The diagrams and operating modes described below for the claimed device do not exhaust all possible options for embodiment of this invention. The device can be used widely for thermal action on various skin components using incandescent lamps. The use of this device is not limited to photoepilation or photomodification of hair; it may be used for action on large blood vessels, leg veins for purposes of treatment, on dermal collagen for purposes of regeneration, photobiostimulation, etc.

#### *Best Embodiment of the Invention*

The device (Figure 1) consists of tip 1, flexible wire and tube bundle 2, and power and control unit 3. Tip 1 consists of incandescent halogen lamp 4, which is placed in glass or dielectric crystal tube 5, reflector 6 made of metal or optical material

whose inner surface has highly reflective coating 7, waveguide filter 8, consisting of a sandwich structure: fluorescent converter 9, nonfreezing coolant fluid 10, and optical thermal insulator 11; prism 12 of highly heat-conductive transparent dielectric material and fixed in metal mount 13, which is connected via thermoelectric elements 14 (for example, Peltier elements) to water- or air-cooled thermal radiators 15. The sandwich structure of filter 8 and dielectric prism 12 together form an optical waveguide. Mount 13 continues on one side as metal plate 16, which is connected to thermoelectric element 14. The lower surface of plate 16 and prism 12 are in contact with biological tissue 17 to be processed. Prism 12 is attached to heat sensor 18, which consists of a thermocouple, a thermistor, or a radiometer. These parts are mounted in heat-radiating body 19. Tip 1 is connected to power and control unit 3 by bundle 2, containing electric wires 20 for powering lamp 4 and wires 21 for powering thermoelectric elements 14. Liquid hoses 22 deliver coolant, which must circulate through holes 23 in reflector 6 and thermal radiator 15. Air line 24 delivers and passes compressed air through channel 25 to body 19 and reflector 6, and thence through holes 26 in tube 5, reflector 6, and electrode mounting assemblies 27. Wires 28 deliver signal from heat sensor 18. Power and control unit 3 consists of power supply 29, current, voltage, or power modulator 30, compressor 31, microprocessor 32, and cooling system 33 with liquid pump.

The device, using the case of hair removal for illustration, operates as follows: emissions 34 from lamp 4 pass directly or with the aid of reflector 6 through element 8, which blocks undesirable spectrum, and strikes skin 17 and acts on it through absorption by water. This radiation also acts on the target, for example, on hair follicle 35 through light absorption by melanin or on a blood vessel through light absorption by blood components. It is known that after bulk scattering in skin, a large part of the light is backscattered (S. R. Utz *et al.* "Percutaneous Blood Laser Biostimulation. First Clinical Results." *Proc. SPIE*, 1992, vol. 1643, pp. 228-239). This effect is maximal in the red part of the spectrum, where skin absorption is minimal. The coefficient of reflection can reach 80% (V. G. Peters *et al.* *Phys. Med. Biol.* 35, 1990, pp. 1317-1334). If, for example, part of reflector 6 located above incandescent lamp 4 consists of part of a sphere, and the center of curvature of that sphere is located on facet 36 of filter 8, which is closest to lamp 4, the emissions 34 diffusely reflected from skin 17 passing through the waveguide formed by elements 8 and 12 will exit through that facet. Then, striking the spherical reflective surface 7 of reflector 6, they are returned to

said facet 36 and thence through the waveguide again to skin 17. In the claimed device, these emissions are sent back to reflective coating 7 of reflector 6 and returned again to skin 17. The gentle slope of the lateral inner surface 7 of reflector 6 ensures that even rays that have struck the lateral surface after leaving facet 36 fall on the spherical part.

The efficiency of re-reflection is very high because the inner surface 7 of reflector 6 is coated with a highly reflective material: Cu, Au, or Ag or a multilayer dielectric. The coefficient of reflection exceeds 90%. Moreover, the surface area of the incandescent filament 37 of lamp 4 is very small, the material of tube 5 and reflector 6 has very low absorption at the wavelengths of the light acting on skin 17. So each re-reflection of emissions into the skin returns  $Rr^n$  part of the energy striking it, where  $R$  is the coefficient of reflection of the skin,  $r$  is the coefficient of reflection of the surface 7 of reflector 6, and  $n$  is the number of reflections. As a result of the multiple reflections, the illumination within the skin is increased by  $\frac{1}{1 - (Rr^n)}$ . For  $R = 0.8$ ,  $r = 0.9$ , and  $n = 2$ , the illumination is increased by as much as a factor of four. We should note that the best increase in illumination within the skin by photon recirculation is achieved with a spot size larger than 10 mm.

Let us consider the lamp heating regime using power supply 29 and modulator 30. The most favorable part of the lamp's spectrum for destroying hair follicle 35 is 600-1100 nm. In this region, the melanin has a fairly high absorption, while scattering is moderate, so that the light can penetrate the skin to a sufficient depth. Halogen lamps have peak temperatures of 3000-3600 K. At 3000 K, 5% of their emissions are concentrated in the  $\lambda < 600$  nm region, 34% are in the  $600 \text{ nm} < \lambda < 1100$  nm region, and 48% are in the  $1100 \text{ nm} < \lambda < 2500$  nm region. At 3500 K, these percentages are redistributed thus: 10%  $\lambda < 600$  nm, 42%  $600 \text{ nm} < \lambda < 1100$  nm, and 35%  $1100 \text{ nm} < \lambda < 2500$  nm. Thus, to maximize the efficiency of conversion of electrical energy to useful light energy, it is advantageous to boost the power and temperature of halogen lamp 4. However, this sharply reduces the lamp's service life, if it is used in normal continuous operation. The claimed invention employs electric modulator 30, enabling delivery of a short current or voltage pulse to lamp 4 that increases the power scattered by the lamp above nominal. Research performed by the authors with OSRAM lamp type ELC (Germany), which has a nominal power  $P_{\text{nom}}$  of 250 W, has shown that a temperature of 2800 K corresponds to a power of 150 W at 9 A and 17 V. If the current is increased to 12.5 A over

a time interval of 0.2 second, a power of 360 W will be scattered in the lamp, which is 1.45 times greater than nominal (Figure 2a). The temperature will reach 3600 K, i.e., it will approach the maximum. Under conditions when the average filament temperature is on the order of 2800 K, but briefly (for 0.2 s) reaches a peak of 3600 K, lamp 4 can operate for a very long time without deterioration or failure. The lamp's power-time curve corresponds to the photoemission curve shown in Figure 2b. The shape of the light pulse may differ from that of an electrical pulse due to the thermal inertia of incandescent filament 37. The thermal inertia depends on the diameter of incandescent filament 37. At the practical limit for the diameter of filament 37, 0.2 mm, the thermal inertia time is 0.04 s, and the minimum FWHM duration  $\tau_2$  of the light pulse can reach 0.1 s. For a 250 W lamp, such a pulse can concentrate up to 50 J of light energy with a FWHM duration of 0.2 s. By regulating the current of lamp 4 with modulator 30, the emission spectrum of lamp 4 can also be tuned. In phase one, with a duration of  $\tau_1$ , the lamp current is below nominal, the temperature of filament 37 is 2800 K, and the emission peak lies in the IR part of the spectrum (1030 nm). In phase two, the temperature of filament 37 reaches 3600 K and the emission peak is retuned to the red (800 nm) region (Figure 2c). The proportion of emissions lying in the 1100-2500 nm region,  $P_{IR}$ , and in the 600-1100 nm region,  $P_{red}$ , change correspondingly (Figure 2d). Additionally, in order to automatically protect lamp 4 from damage, modulator 30 continuously monitors the lamp's resistance. When an above-nominal current pulse is delivered, the resistance of incandescent filament 37 increases, and at time  $\tau_m$  (Figure 2e), when the resistance reaches a critical value, modulator 30 automatically restricts the scattered power. For this purpose, modulator 30 contains resistor 38 for incandescent filament 37 of lamp 4, connected to a current, voltage, or power regulator.

The method of processing various biological tissues using the claimed device is determined based on the degree of susceptibility of a given biological tissue to the irradiation parameters. In particular, the method of using the device to remove hair is determined by the properties of skin and hair bulb (see, for example, A. Waldman *et al.* "Laser Hair Removal: Theory and Clinical Experience." *Proc. SPIE*, 1998, Vol. 3245, pp. 318-321).

Calculations performed according to a mathematical model developed by the authors have shown that two phases of heating are needed: a long one (preheating) and a short one (heating and destruction). In addition, the photodestruction of a hair follicle requires first cooling the upper layer of skin (epidermis)

and then beginning to irradiate the skin while continuing to cool.

In fact, in the spectral region from 1100 to 2500 nm, skin possesses strong absorption (water absorption) and weak scattering. In the 600-1100 nm band, melanin and blood hemoglobin are the primary absorbers. Thus, in phase one of the radiation's action on it, the skin is nonselectively heated through radiation absorption by water. In phase two, the skin's melanin-containing structures (epidermis, hair shaft, cell matrix of hair bulb) and hemoglobin (blood vessels, veins) are selectively heated. The role of phase one consists of preheating the target to be destroyed (hair bulb, blood vessel) from 30-36°C to 45-55°C (which is below the protein denaturing point). This is done in order to reduce the energy level required for phase-two heating. In phase two, the target to be destroyed (hair bulb, vessel) is heated by a short pulse to the protein denaturing point of 65-75°C.

Usually, the illumination peak is on or near the surface layer of the skin. This does not permit uniform heating of deeper layers of the skin. The inclusion of contact cooler 16 with subfreezing temperature maintained by a cooler in the form of thermoelectric elements 14 or thermal radiator 15 with circulating water reduces the temperature of the surface and subsurface layer and shifts the temperature peak in phase one deeper into the skin. Combining the coolant temperature and lamp power permits smooth control of the temperature profile within the skin. This effect can be used for selective destruction of collagen in order to stimulate its growth.

Figure 3 shows a typical temperature profile within the skin, calculated for the case of a contact cooler made of sapphire crystal at a temperature of -10°C and a lamp with a nominal power of 250 W and a filament temperature of 3600 K through an illuminated section of skin  $1.5 \times 1.5 \text{ cm}^2$  and one second after the beginning of action (phase one). By the time this phase is complete, the use of contact cooler 16 has reduced the temperature of the basal membrane to 17°C. This enables protection of the epidermis from injury in phase two.

Figure 4 shows time diagrams of the temperature (phase two) of the basal membrane (curve 1), stem cells (curve 2) and hair follicle cell matrix (curve 3). The horizontal line (4) corresponds to the protein denaturing point. The incandescent lamp's peak temperature is 3600 K, its peak power is 1.45 times nominal, the peak radiated power density in the 600-1100 nm spectral band is  $81.6 \text{ W/cm}^2$ , the spot size is  $1.5 \times 1.5 \text{ cm}^2$ , and the duration of phase two is 0.2 s. Achieving the thermal destruction of hair bulb 35 requires the temperature in the area of the papillae and stem cells to reach the protein denaturing point, i.e.,

65-75°C. Calculations based on models of the skin and hair bulb using data described in the literature (M. H. Niemz, *Laser-Tissue Interaction, Fundamentals and Application*, Springer, 1995) show that for the device design described above, the optimal method of hair follicle photodestruction is as follows: precool the skin by contact with metal plate 16 and dielectric prism 12, then, while maintaining the contact and continuing to cool, heat the dermis with radiation in the 1100-2500 nm band with a peak at 1300-1400 nm and a density of 10-60 W/cm<sup>2</sup> and a duration of 0.1-100 s. In phase two, immediately following phase one, perform destruction in the hair follicle by radiation 0.05-10 s in duration in the 600-1200 nm band with a peak in the 600-1000 nm region and a power density of 80-800 W/cm<sup>2</sup>.

The method of using the device to coagulate blood vessels is determined mainly by the optical properties of hemoglobin (see, for example, T. J. Pfefer *et al.* "Laser Treatment of Port-Wine Stains: Three-Dimensional Simulation Using a Biopsy-Defined Geometry in an Optical-Thermal Model." *Proc. SPIE*, 1998, vol. 3245, pp. 322-333). Just as in the case of the hair follicle, pre-cooling is required, followed by irradiation in two phases with simultaneous cooling. Calculations show that in phase one 0.1-100 s in duration, irradiation is performed with radiation in the 500-2500 nm band with a maximum in the 700-1500 nm region and a power density of 1-50 W/cm<sup>2</sup>. In phase two, to coagulate vessels or veins, the duration of action must be 0.05-5 s in the 400-1200 nm band with a peak in the 500-1100 nm region and a power density of 10-500 W/cm<sup>2</sup>.

The specified device may also be used for selective destruction of dermal collagen in order to stimulate its growth and consequently improve the cosmetic properties of the skin (reduce wrinkling and increase elasticity) or to destroy subcutaneous fat. Calculations based on our model using literature data (A. Welch. *Optical-Thermal Response of Laser-Irradiated Tissue*. Plenum Press, NY, 1996) have shown that the optimum conditions for selective destruction of collagen using the specified device are as follows: cool the skin by contact with metal plate 16 and dielectric prism 12 and irradiate with light from incandescent lamps in the 600-2500 nm band for 0.1-1000 s with a power density of 0.1-500 W/cm<sup>2</sup>. Under these conditions, the simultaneous surface cooling and bulk heating of the dermis or subcutaneous fat by radiation from the incandescent lamp shifts the temperature peak deep into the skin, destroying the collagen layer while preserving the epidermis. The depth of destruction is determined by the duration of heating and cooling. The lower the power and longer the cooling, the deeper the damaged area



lies. The skin may be cooled during the use of the specified device as it is slid along the surface with thermal contact maintained. In that case, the new unirradiated section of skin first contacts metal plate 16 and is precooled, and then that section  
 5 contacts prism 12 and is cooled while being irradiated.

The simplified (nipper) versions of the tip of the claimed device depicted in Figure 5 are characterized by the fact that transparent dielectric 12 is split (divided into two halves along plane of symmetry 39) and made of material that absorbs radiation  
 10 from lamp 4 harmful to the biological tissue being processed, i.e., it incorporates the functions of filter 8. Moreover, each half is fixed on movable elements, some parts of which perform the role of reflector 6 with the function of focusing the radiation from lamp 4 on the fragment of skin 17 fixed between the halves of  
 15 transparent dielectric 12, for example, by an elementary clamp. The reflector halves are combined with handles 40, whose closure about axis 41 clamps skin 17. A device with such a tip is more convenient to use at home.

As Figures 5a, 5b, and 5c show, the inner surface of the "nippers" reflector in the closed position has an elliptical shape. If  
 20 the coil of incandescent filament 37 are [sic] placed at one focus 42 of the ellipse, the radiation 34 emitted from said filament is concentrated after reflection from the elliptical surface at the second focus 43. The distortion of the path of rays 34 due to the  
 25 presence of dielectric element 12 will be minimal if the rays emitted from one focus 42 and reflected from the inner surface of the ellipse strike that element normally. To this end, the cross section of dielectric element 12 must be round. A polygonal shape (easier to manufacture) is also possible; the number and  
 30 orientation of the facets is selected to meet the criterion of concentrating the maximum proportion of the radiation at the second focus 43 of the ellipse.

Figure 5a shows the "nippers" with the inner surface 7 of reflector 6 shaped as an ellipsoid of revolution and the coil of incandescent filament 37 oriented along the major axis 39 of the  
 35 ellipsoid. In this case, radiation from incandescent filament 37 is emitted primarily perpendicular to said ellipsoid axis 39 and, after reflection from the inner surface 7 of reflector 6, strikes all sides of dielectric element 12, which is made in the form of  
 40 sphere 44 and fixed using fixator 45, and is concentrated in the area of the second focus 43 of the ellipsoid, which coincides with the center 46 of sphere 44.

Figures 5b and 5c show the "nippers" with the inner surface 7 of reflector 6 shaped as an elliptical cylinder and the coil of incandescent filament 37 oriented along the generatrix of the cyl-  
 45

inder. In this case, radiation from the incandescent filament is emitted primarily perpendicular to the generatrix of the cylinder and, after reflection from the inner surface 7 of reflector 6, is concentrated in the area of the second focus 43 of the ellipse.

- 5 The shape of dielectric element 12 shown in the figure (prism 47 or cylinder 48) does not alter the direction of radiation 34.

Figure 6 depicts the version of cylindrical incandescent lamp 4 with four incandescent filaments 37 in a single bulb, designed for use in a cooled tip. If the incandescent filaments in the lamps  
10 are made so that its [sic] geometric dimensions in a plane perpendicular to the illuminated surface of biological tissue 17 is much smaller than the filament's dimensions in other directions, its radiation will be emitted primarily parallel to that plane. This will reduce losses to mutual rescattering of radiation from one  
15 incandescent filament on the others and increase the device's overall efficiency. In principle, the placement of several incandescent filaments in a single bulb enables elimination of the tubes guiding the cooling air flow, reduces heat losses through gas and light losses on bulbs and air guide tubes, and improves  
20 the fabricability of lamps for this device through simplification of the current input design.

Figure 7a depicts the cross section in the plane of the incandescent filaments of the fabricated tip claimed hereunder, and Figure 7b depicts the cross section in the plane of the main optical axis of the spherical part of reflector 6. Reflector 6 is a modular structure made of plates. Four halogen lamps are glued into bracket 49, which in turn is fixed to the plates of the reflector with screws 50. The experimental mockup employed four OS-  
25 RAM type ELS lamps. Radiation from lamp 4 passed through the walls of the bulb and quartz tube 5, directly or after reflection from the silvered walls of the aluminum alloy reflector, through a spectral filter consisting of ruby, a thin layer of water, and a quartz wafer onto sapphire dielectric element 12, and then struck the surface of the skin. In the experiment, the skin surface  
30 was cooled with a cooling system based on Peltier elements brand TV-17-0,1.

The cross section of the waveguide in contact with the skin was  $15 \times 15$  mm. In the 650-1200 nm part of the spectrum, the power density on the skin surface in phase 1, which lasted 0.5-1  
40 s, was  $20 \text{ W/cm}^2$ , and in phase two, which lasted 0.2 s, it was  $85 \text{ W/cm}^2$ .

The embodiment of the device using one halogen lamp is shown in Figure 8. In this device, lamp 4 is oriented with its longest dimension horizontal relative to the surface of skin 17. If  
45 the shape of the incandescent element is asymmetric, the lamp

bulb is oriented horizontally relative to the skin surface so that the surface of coil 37 with the greatest area is facing the skin surface. In this case, the waveguide effect in the direction of propagation of the radiation from the lamp toward the skin is provided mainly by element 51 in the form of frustum of pyramid 51 with high index of refraction (no less than 1.76), and in the direction of propagation of radiation reflected from the skin it is provided mainly by reflective surface 52. The space between the surface of element 51 and surface 52 forms cell 53, connected with line 54 filled with meltwater at +1°C from reservoir 55, which goes to discharge tank 56. Prism 12 is made of sapphire, fixed in metal mount 13, within which the design provides for flow of liquid at 0-5°C formed by melting of solid multicomponent substance, for example frozen water-alcohol solution, contained in reservoir 57, which is connected to tank 58, where the liquid at 0-5°C is collected. In this embodiment of the claimed device, power and control unit 3 may incorporate a feedback system consisting of an actuator and a sensor (not shown).

The shape of the reflective surface 7 of reflector 6 and its position in the immediate vicinity of lamp 4 is selected to minimize the length of the optical path between the emitting surface of the incandescent filament 37 of lamp 4 and the opposite surface of waveguide 51 and maximize light transmission. Waveguide 51 is most efficient due to the phenomenon of total internal reflection, and transmits light from surface 7 through a liquid filter and sapphire wafer to the skin surface. The liquid filter selectively absorbs the IR component of the lamp's emission, attenuating light in this part of the spectrum to the optimal level. The liquid filter is water formed by the melting of ice in reservoir 55 and flowing under low pressure to cell 53; the filter water heated by the IR light enters the meltwater collection tank via a line. Reservoir 55 and meltwater collection tank 56 are replaceable. Sapphire wafer 12 is cooled to a temperature on the order of 0-5°C by the passage of liquid formed by the melting of a solid multicomponent substance (for example, frozen water-alcohol solution) in reservoir 57 via lines 59 within metal mount 13. Reservoir 56 and collection tank 58 for liquid at 0-5°C are also replaceable. The melting of ice and solid multicomponent substance begins when reservoirs 55 and 57 from the refrigerator are placed in the device and is due to the influx of ambient heat at room temperature. The use of liquid at 0-5°C is necessitated by the need for precooling, for example of the epidermis, to below 0°C during contact with sapphire wafer 12 and metal mount 13 before, during, and after irradiation. Melting is the phase transition that enables most efficient storage of heat from the skin and liquid filter.

The lamp is electrically powered by a power supply that creates electric pulses of the requisite voltage, current, and duration. The power supply may be off-line, due to incorporation of a single-use or rechargeable electrolyte battery.

- 5 It must be noted that the processing procedure may be painful. To increase comfort and reduce injury, the device incorporates a feedback system. In the simplest version, it consists only of an actuator such as a push-button switch or pedal that terminates delivery of electricity at the patient's wish and can be controlled by the patient. Options are possible wherein the sensor that reports crossing of the pain threshold is a sensor that monitors pupil size (when the pain threshold is crossed, the pupil contracts sharply), the rate of blood flow (when the pain threshold is crossed, the rate of blood flow drops sharply), or the temperature of the surface being processed (when the pain threshold is crossed, the temperature reaches a certain value), and based on these signals the actuator alters the current passing through the lamp or terminates the delivery of electricity.

- 10 When this device is used, the cooling phase may occupy a considerable time interval, and the lamp does not emit until the epidermis reaches a temperature close to 0-5°C as indicated by a signal received from the temperature sensor (thermocouple, thermistor, radiometric sensor, etc.).

- 15 If biological tissue with a fairly large surface area must be processed, several similar devices may be used simultaneously, with their outputs forming a matrix of emitters and coolers in contact with the skin.

*Claim*

1. Device for therapeutic and cosmetological photoprocessing of biological tissue, comprising power supply 3 and source of electromagnetic radiation 34 contained in the body, said source made in the form of incandescent lamp 4, reflector 6 for concentrating said radiation 34 onto the biological tissue 17 to be processed, transparent dielectric 12 in the form of a waveguide connected to a cooling system and in contact with the biological tissue 17 to be processed, as well as a spectral filter, *characterized by* the fact that incandescent lamp 4 is connected to power supply 3 through modulator 30, which comprises resistometer 38 of the incandescent filament 37 of lamp 4 and power regulator, and the inner surface of reflector 6 consists of mirror surface 7 made with the additional function of returning radiation 34 reflected from the biological tissue being processed back to the biological tissue 17.

2. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the spectral filter may be made in the form of absorbent filter 8.

3. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the spectral filter may be made in the form of fluorescent converter 9.

4. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the spectral filter may be made in the form of reflective coating 7 of reflector 6.

5. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that transparent dielectric 12 is located in metal mount 13, fixed inside body 1, to one side of which metal plate 16, connected to cooling system 33, is tightly joined in contact with biological tissue 17.

6. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that radiation-absorbent filter 8 is made in a form that together with the dielectric forms an optical wave guide with a sandwich structure consisting of fluorescent converter 9, coolant nonfreezing liquid 10, and optical thermal insulator 11.

7. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the region of the inner surface of reflector 6 located above incandescent lamp 4 is shaped as a part of an ellipsoid or sphere with a center of curvature in the center of that facet 36 of the waveguide which is nearest to lamp 4, and the region of the in-

ner surface of reflector 6 located between incandescent lamp 4 and said facet is inclined to the latter at an obtuse angle.

8. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 7, *characterized by* the fact that the region of the inner surface of reflector 6 located between incandescent lamp 4 and the facet 36 of waveguide 8 closest to it consists of the lateral surface of a frustum of a cone or right tetrahedral pyramid whose minor base is said facet, and the dihedral angle between it and the lateral surface or facet is between 115° and 120°.

9. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that reflector 6 and transparent dielectric 12 are made of two halves with a common axis of rotation 39, incandescent lamp 4 is located on the inner side of one of the halves of reflector 6, and each of the halves of transparent dielectric 12 may be made with the function of spectral filter and mounted on the corresponding half of reflector 6 so as to permit placement of biological tissue 17 between the halves of dielectric 12 with the halves of reflector 6 in the closed position.

10. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 9, *characterized by* the fact that the inner surface of reflector 6 with its halves in the closed position constitutes an ellipsoid of revolution, the coil of incandescent filament 37 of lamp 4 is located at one of its foci 47 the axis of which lamp is oriented along the major axis 39 of the ellipsoid, the halves of transparent dielectric 12 are made in the form of sphere segments 44 with bases parallel to the major axis of the ellipsoid and to the axis of rotation of the halves of reflector 6, the sphere segments are mounted on the halves of the reflector so that their common center coincides with the second focus 43 of the ellipsoid and with the biological tissue 17 located between the sphere segments.

11. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 9, *characterized by* the fact that the inner surface of reflector 6 with its halves in the closed position constitutes the surface of an elliptical cylinder whose generatrix is parallel to the axis of rotation of the halves of reflector 6, the coil of incandescent filament 37 of lamp 4 is located at the level of one focus of the ellipse, the axis of which lamp is aligned parallel to the generatrix of the elliptical cylinder, and the halves of transparent dielectric 12 are made in the form of halves of cylinder 48 mounted on the halves of reflector 6 so that the axes of said cylinder coincide with the biological tissue 17 located between its halves and the orientation of the genera-

trix of cylinder 48 of dielectric 12 coincide[s] with the orientation of the axis of the coil of incandescent filament 37.

12. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 9, *characterized by* the fact that the inner surface 7 of reflector 6 with its halves in the closed position constitutes the surface of an elliptical cylinder whose generatrix is parallel to the axis of rotation 39 of the halves of reflector 6, the coil of incandescent filament 37 of lamp 4 is located at the level of one focus 42 of the ellipse, the axis of which lamp is aligned parallel to the generatrix of the cylinder, and the halves of transparent dielectric 12 are made in the form of right prisms 47 with irregular polygons in their bases, oriented with their lateral edges parallel to the axis of rotation 39 of reflector 6, and prisms 47 are mounted so that the focal axis 39 of the elliptical cylinder coincides with the biological tissue 17 located between prisms 47.

13. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 4, *characterized by* the fact that the reflective surface 7 of reflector 6 is made of material that selectively reflects radiation 34 with a wavelength between 600 and 2500 nm.

14. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the number of incandescent lamps 4 or the number of incandescent filaments 37 in one lamp 4 may be greater than one, and incandescent filaments 37 may be flat.

15. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 9, *characterized by* the fact that coolant nonfreezing liquid 10 additionally possesses the qualities of absorbing radiation or re-emitting radiation in a different part of the spectrum and is placed in tube 22 connected to pressurizing pump 33.

16. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the space within reflector 6 is connected via an air line to air compressor 31.

17. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that cooling system 33 of transparent dielectric 12 and the metal plate may contain Peltier elements 14.

18. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 3, *characterized by* the fact that fluorescent converter 9 and optical thermal insulator 11 forming the sandwich structure are made of ruby or sapphire with titanium and optical glass, including quartz glass, respectively.

19. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that it is additionally fitted with a system for water or air cooling of the body.

5 20. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 3, *characterized by* the fact that the bulb of incandescent lamp 4 and/or tube 5 surrounding bulb 4 are additionally made with the function of fluorescent converter.

10 21. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that incandescent filament 37 of lamp 4 constitutes a flat emitter whose plane is parallel to the plane of the biological tissue to be processed, and the part of the inner surface of reflector 6 located above the lamp is at a distance no less than  $1.2d$  from the waveguide facet 36 nearest to lamp 4, where  $d$  is the outer diameter of the lamp bulb.

15 22. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that the radiation-absorbing filter is made in the form of a sandwich structure with the function of waveguide for radiation from lamp 4 to biological tissue 17 and back and formed, in the direction perpendicular to the surface of the biological tissue, of a frustum of a tetrahedral pyramid 51 made of transparent material with an index of refraction no less than 1.76 whose major base faces lamp 4, water at a temperature of  $1^{\circ}\text{C}$  to  $10^{\circ}\text{C}$ , and a cubical transparent dielectric in contact with the biological tissue and formed, in a direction parallel to the surface of the biological tissue, of the same frustum of a tetrahedral pyramid 51, water at a temperature of  $1^{\circ}\text{C}$  to  $10^{\circ}\text{C}$ , and the inner surface 52 of the reflectively coated tip.

20 23. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that transparent dielectric 12 is located in a metal mount equipped with a cooling system employing liquid at a temperature of  $-1^{\circ}\text{C}$  to  $-18^{\circ}\text{C}$ .

25 24. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that it is additionally equipped with a feedback system whose circuit incorporates a patient pain threshold sensor, incandescent filament 27 of lamp 4, and power supply 29.

30 25. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that it is additionally equipped with a light interrupter controlled by the patient's pain threshold or by a pain sensor in the form of an

35 40 45



iris sensor or blood flow sensor.

26. Device for therapeutic and cosmetological photoprocessing of biological tissue per claim 1, *characterized by* the fact that power supply 29 is equipped with a battery.

5 27. Method of therapeutic or cosmetological photoprocessing of skin, in which skin 17 is precooled, and then, while cooling continues, irradiated with light 34 from incandescent lamp 4, *characterized by* the fact that, to accomplish photodestruction of hair bulb 35, irradiation is performed in two phases, the first of  
10 which is intended to preheat dermis 17 to a temperature not exceeding the denaturing point and lasts 0.1-100 s in the 1100-2500 nm band with a peak in the 1300-1400 nm region and power density of 10-60 W/cm<sup>2</sup>, and the second, which immediately follows the first, is intended to destroy hair bulb 35 and  
15 lasts 0.05-10 s in the 600-1200 nm band with a peak in the 600-1000 nm region and a power density of 80-800 W/cm<sup>2</sup>.

28. Method of therapeutic or cosmetological photoprocessing of skin, in which skin 17 is precooled, and then, while cooling continues, irradiated with light 34 from incandescent lamp 4, *characterized by* the fact that, to accomplish photodestruction of hair bulb 35, irradiation lasts 0.05-10 s in the 600-1200 nm band  
20 with a peak in the 600-1000 nm region and a power density of 80-800 W/cm<sup>2</sup>.

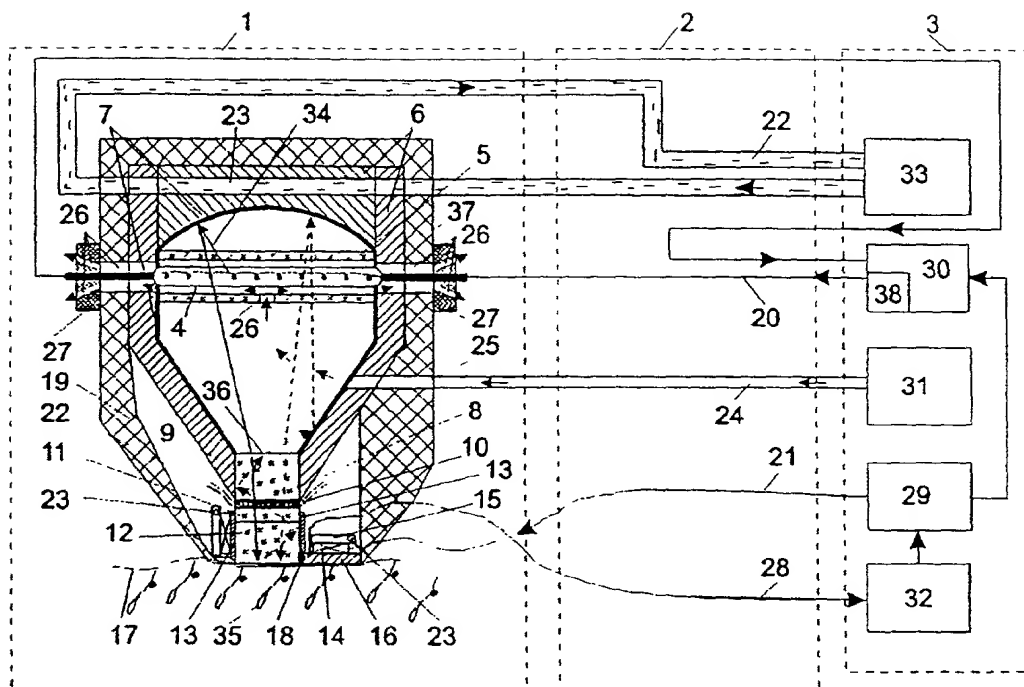
29. Method of therapeutic or cosmetological photoprocessing of skin, in which skin 17 is precooled, and then, while cooling continues, irradiated with light 34 from incandescent lamp 4, *characterized by* the fact that, in order to accomplish photocoagulation of blood vessels or veins, irradiation is performed in two phases, the first of which is intended to preheat dermis 17 to a  
25 temperature not exceeding the denaturing point and lasts 0.1-100 s in the 500-2500 nm band with a peak in the 700-1500 nm region and power density of 1-50 W/cm<sup>2</sup>, and the second, which immediately follows the first, is intended to coagulate the vessel or vein and lasts 0.05-1 s in the 400-1200 nm band with a peak  
30 in the 500-1100 nm region and a power density of 10-500 W/cm<sup>2</sup>.

30. Method of therapeutic or cosmetological photoprocessing of skin, in which skin 17 is precooled, and then, while cooling continues, irradiated with light 34 from incandescent lamp 4, *characterized by* the fact that, in order to selectively damage collagen in dermis 17 to stimulate its regeneration or in order to selectively damage subcutaneous fat, irradiation is performed  
40 with light in the 600-2500 nm band with a duration of 0.1-1000 s and a power density of 0.1-500 W/cm<sup>2</sup>.

45 31. Method of therapeutic or cosmetological photoprocessing

- of skin, in which skin 17 is precooled, and then, while cooling continues, irradiated with light 34 from incandescent lamp 4, *characterized by* the fact that transparent dielectric 12 and metal plate 16 of the device per claim 5 are brought into thermal contact with skin 17, and then, simultaneously with irradiation or in the intervals between irradiations, the device is moved along the surface of skin 17 so that a new unirradiated area of skin 17 first contacts metal plate 16 and then transparent waveguide 12.

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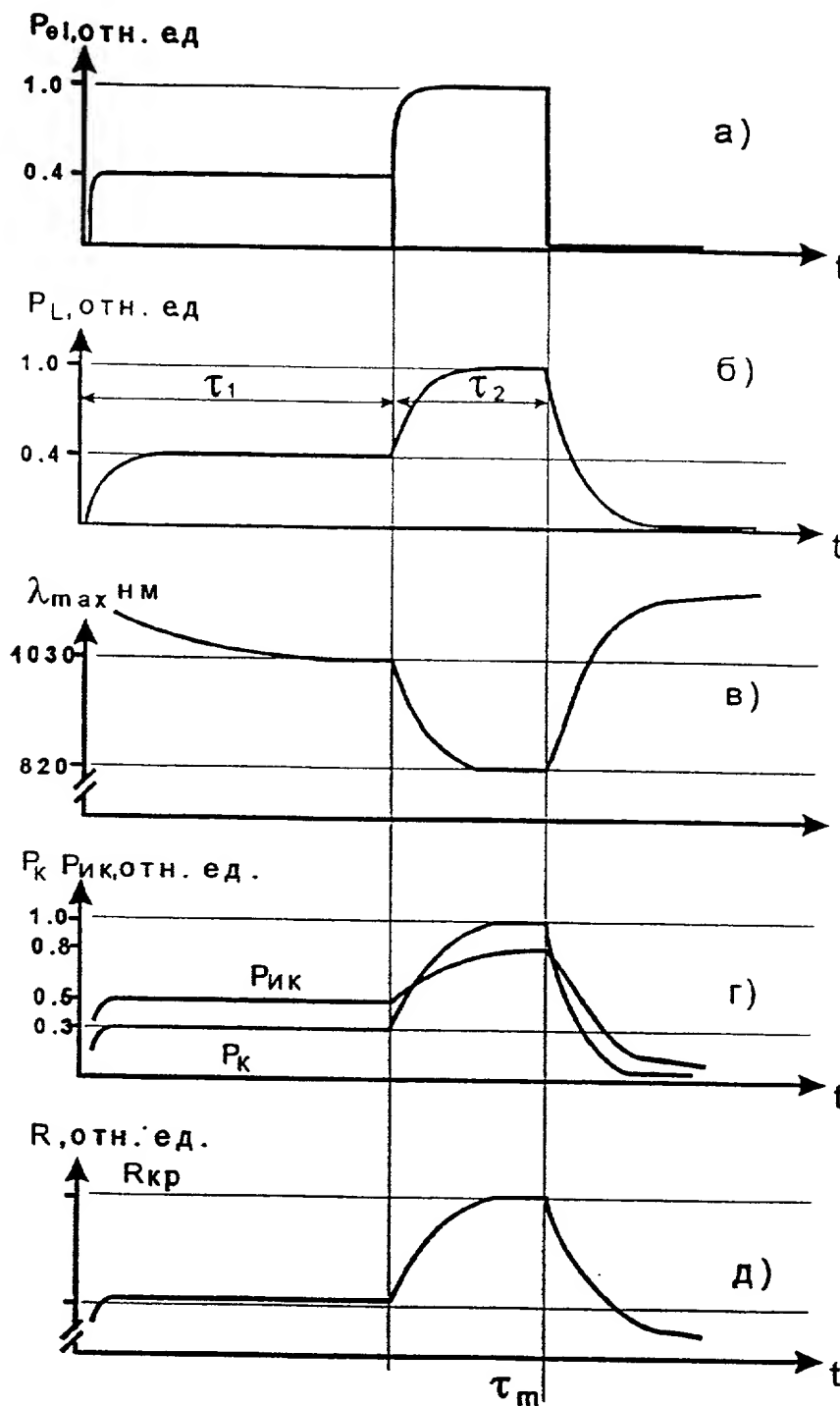


Figure 2

Key: [graph labels at right, top to bottom:] a); б); в); г); д); [ordinates, top to bottom:]  $P_{\theta}$ , arbitrary units;  $P_L$ , arbitrary units;  $\lambda_{\max}$ , nm;  $P_{K\text{отн. ед.}}$ , arbitrary units;  $P_K$ , arbitrary units; [labels within graph 2d), top to bottom:]  $P_{K\text{отн. ед.}}$ ;  $P_K$ ; [label within graph 2e):]  $R_{\text{crit.}}$

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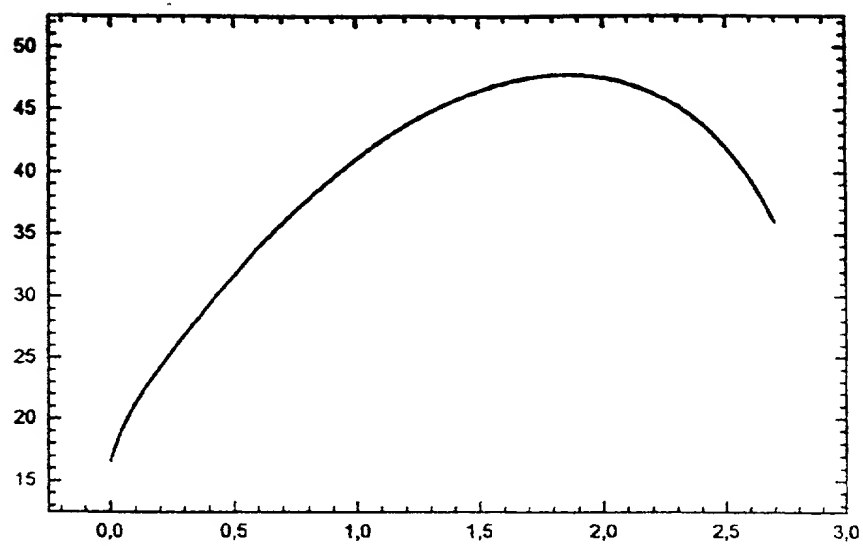


Figure 3

Key: [ordinate:] Temperature, °C; [abscissa:] Distance from skin surface, mm.

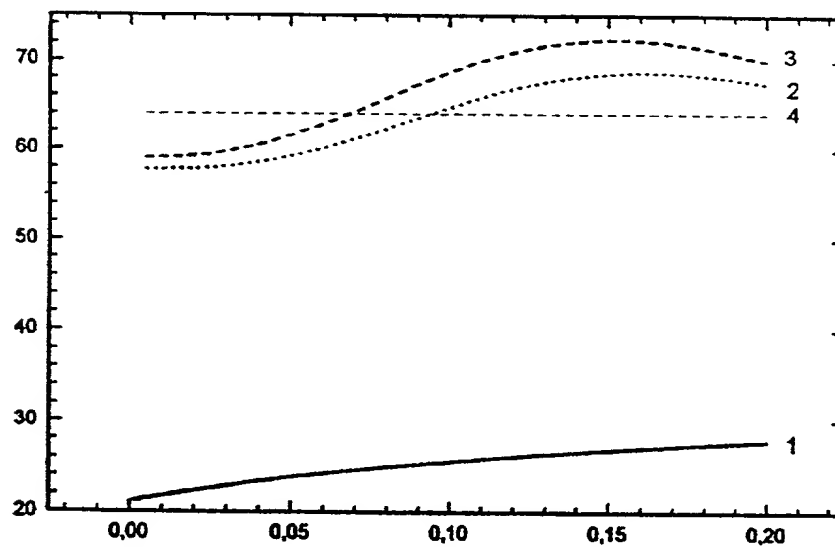
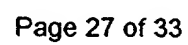
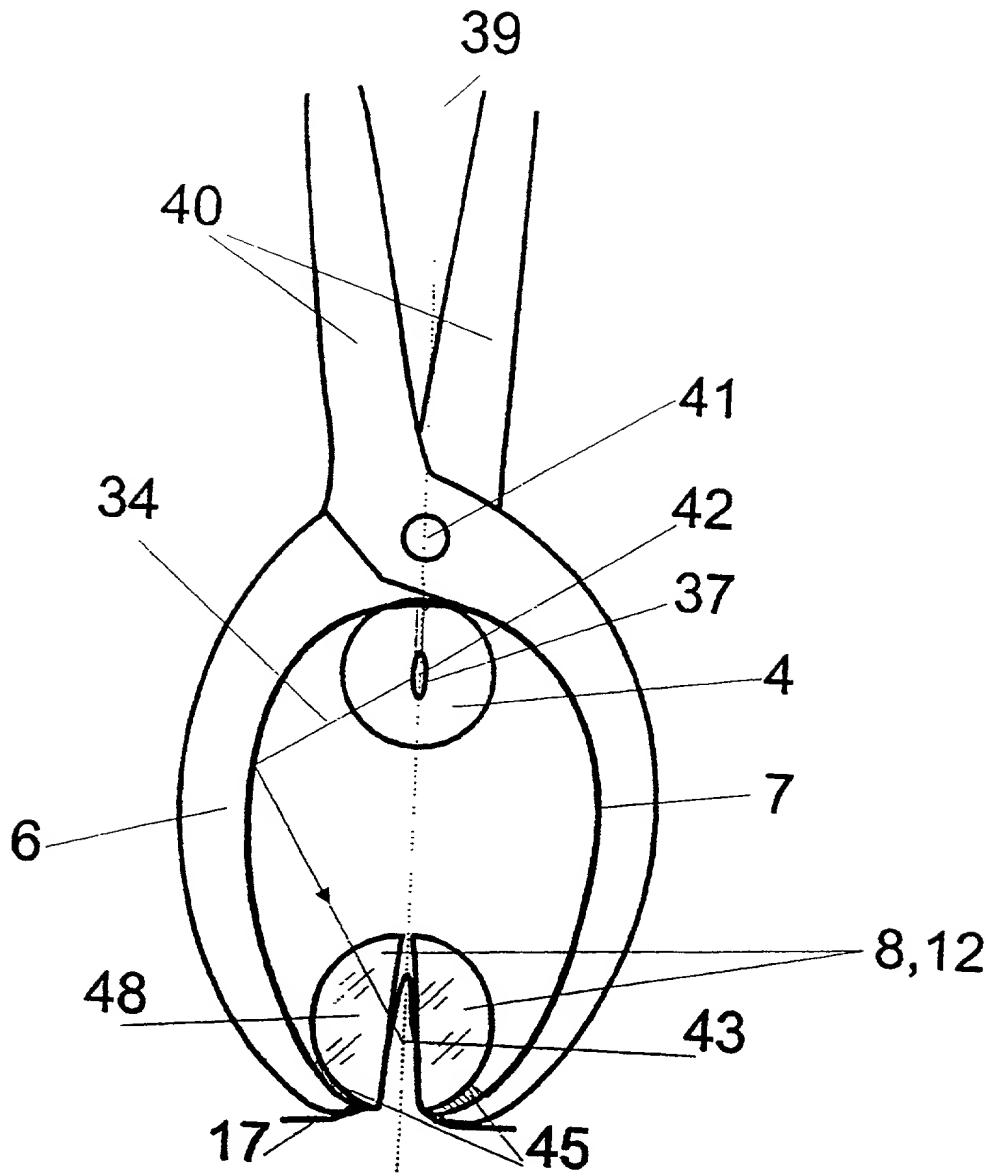


Figure 4

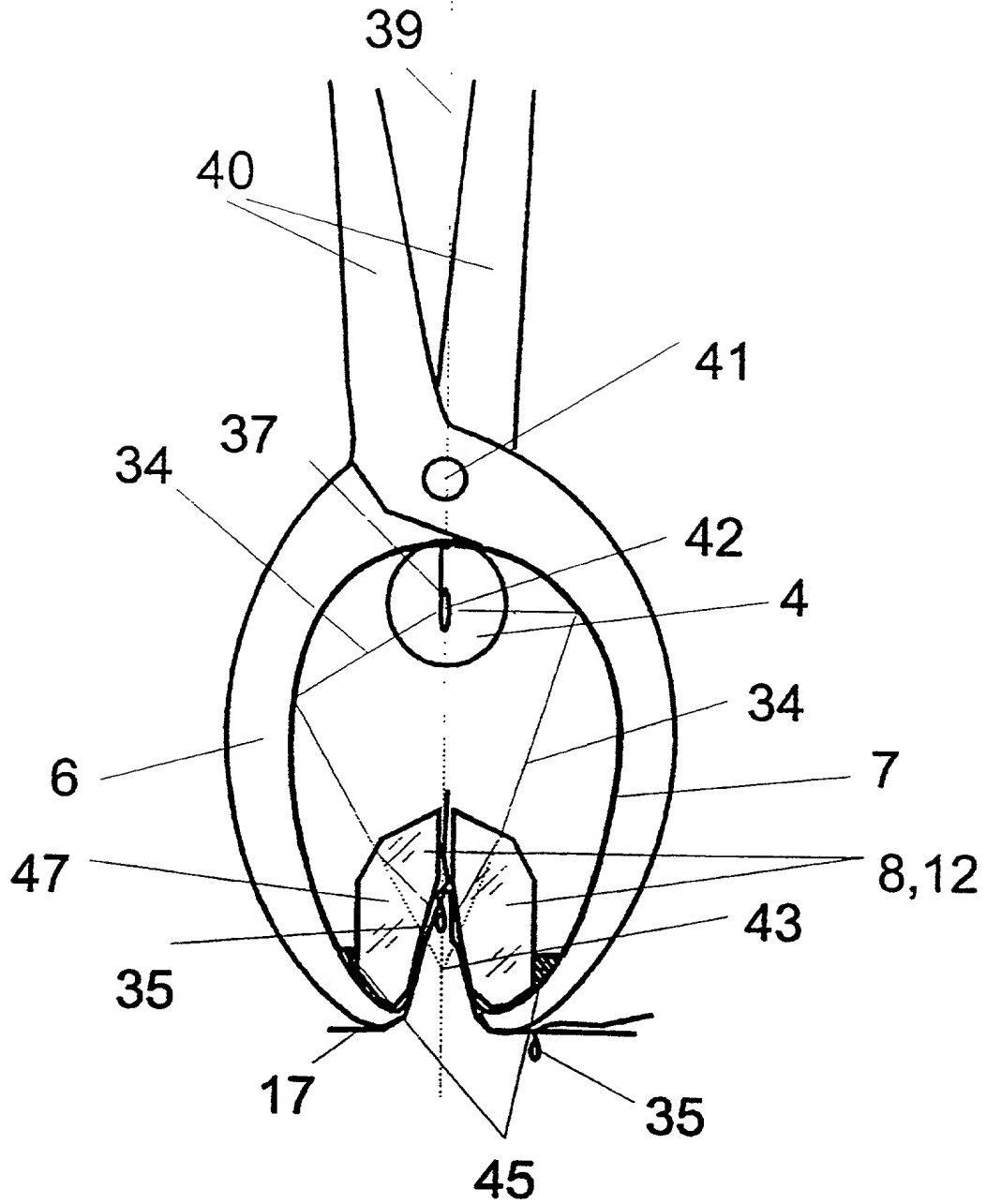
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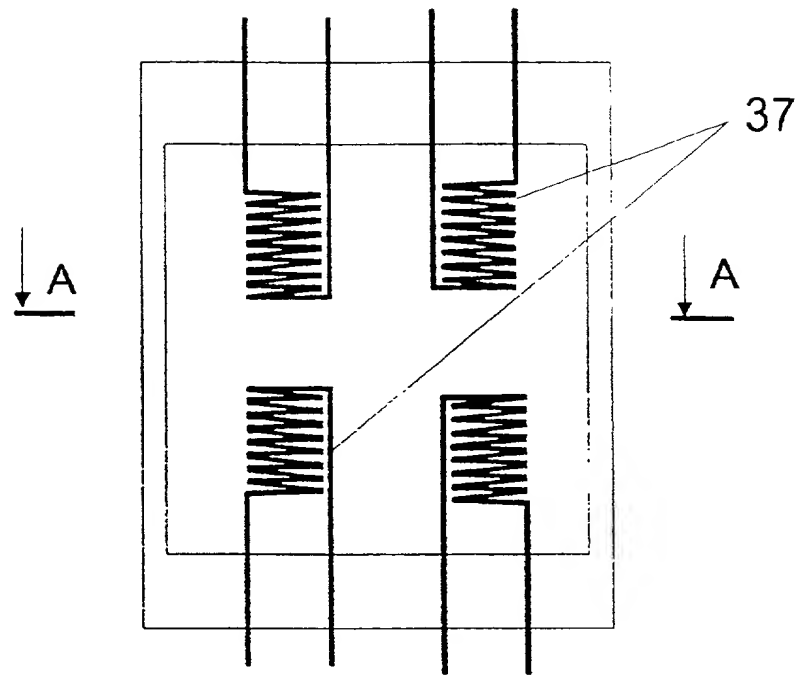


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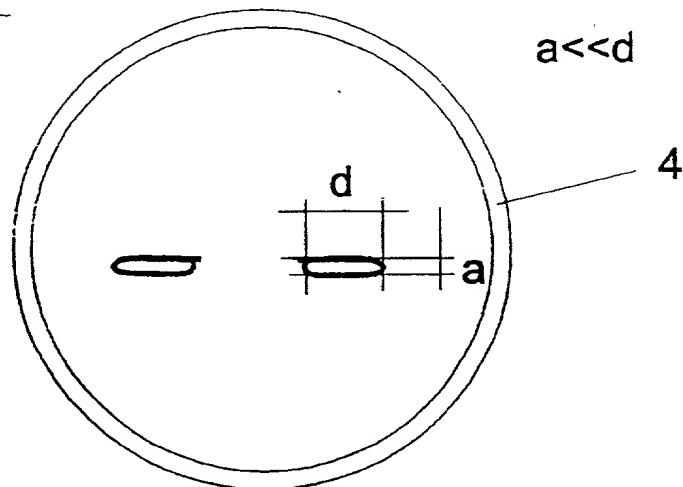




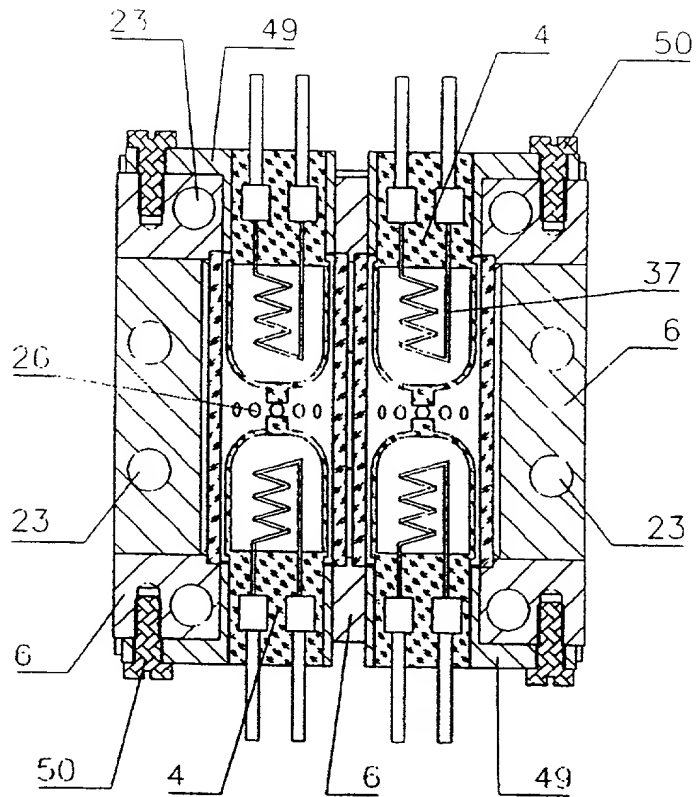
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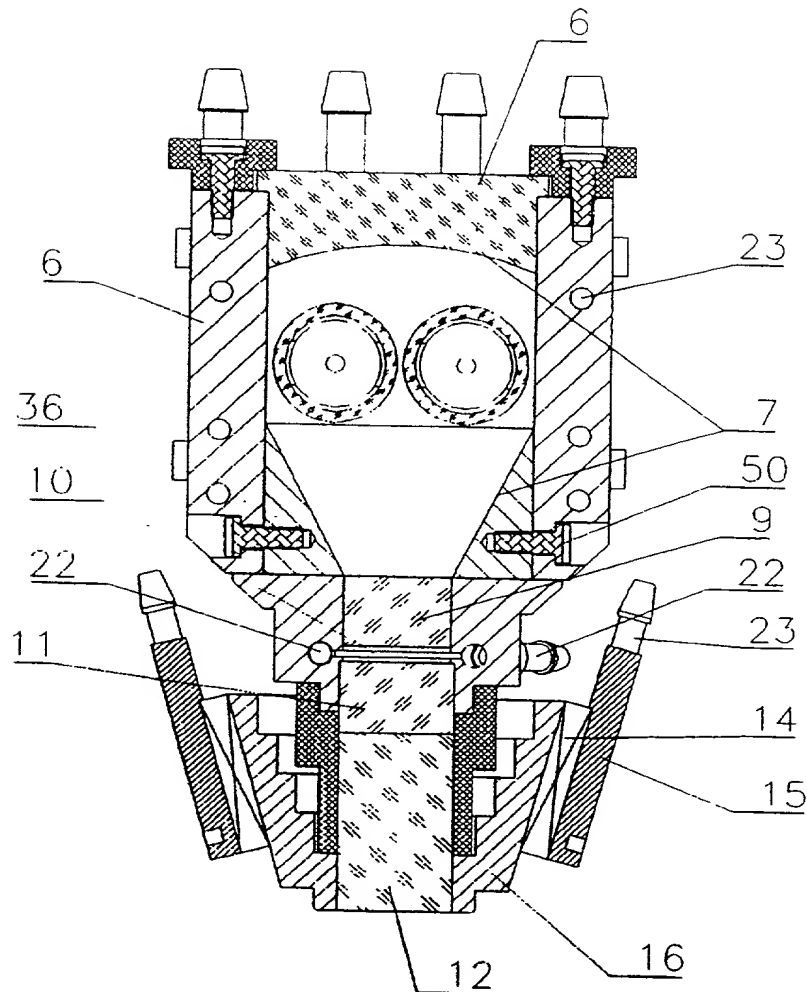


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REPLACEMENT PAGE (RULE 26)

09-719,497



REPLACEMENT PAGE (RULE 26)



**DECLARATION, PETITION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

(Check one):

- ☐ Declaration Submitted with Initial Filing  
☒ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DEVICE FOR THE THERAPEUTIC AND COSMETIC PHOTO-PROCESSING OF  
BIOLOGICAL TISSUES AND METHOD FOR USING THE SAME**

the specification of which (check one):

☐ is attached hereto.

OR

☒ was filed on **17 March 2000** as PCT International Application Number **PCT/RU00/00088**, and was filed as U.S. Serial No. **09/719,497**

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

## PRIORITY CLAIM

(Check one):

- ☐ no such applications have been filed.
- ☒ such applications have been filed as follows

**1) FOREIGN PRIORITY CLAIM:** I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (dd/mm/yyyy)	Priority Not Claimed	Certified Copy Attached	
				Yes	No
<b>99105549</b>	<b>Russian Federation</b>	<b>18 March 1999 (18/03/99)</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

**2) PROVISIONAL PRIORITY CLAIM:** I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Provisional Application Number(s)	Filing Date (dd/mm/yyyy)

☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

**3) U.S./PCT PRIORITY CLAIM:** I hereby claim the benefit under Title 35, United States Code, §120 of any United States application or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (dd/mm/yyyy)	Parent Patent Number (if applicable)
	<b>PCT/RU00/00088</b>	<b>17 March 2000</b>	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

**POWER OF ATTORNEY:**

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

James E. Cockfield	Reg. No. <u>19,162</u>	Megan E. Williams	Reg. No. <u>43,270</u>
Thomas V. Smurzynski	Reg. No. <u>24,798</u>	Nicholas P. Triano III	Reg. No. <u>36,397</u>
Ralph A. Loren	Reg. No. <u>29,325</u>	Jeremiah Lynch	Reg. No. <u>17,425</u>
Giulio A. DeConti, Jr.	Reg. No. <u>31,503</u>	David J. Ridders	Reg. No. <u>43,882</u>
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DeAnn F. Smith	Reg. No. <u>36,683</u>	Theodore R. West	Reg. No. <u>47,202</u>
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02109, United States of America**

Direct Telephone Calls to: (name and telephone number)


**Giulio A. DeConti, Jr., (617) 227-7400**

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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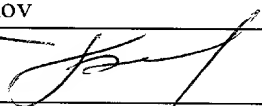
280

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